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<b>(21) International Application Number:</b> PCT/EP98/00598 <b>(22) International Filing Date:</b> 4 February 1998 (04.02.98)  <b>(30) Priority Data:</b> MI97A000252 7 February 1997 (07.02.97) IT  <b>(71) Applicant (for all designated States except US):</b> INALCO S.P.A. [IT/IT]; Via Goldoni, 11, I-20129 Milan (IT).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> ZOPPETTI, Giorgio [IT/IT]; Via Mac Mahon, 43, I-20155 Milan (IT). ORESTE, Pasqua [IT/IT]; Via Mac Mahon, 43, I-20155 Milan (IT). CIPOLLETTI, Giovanni [IT/IT]; Via Tagliamento, 21, I-20139 Milan (IT).  <b>(74) Agent:</b> PASSINI, Angelo; Notarbartolo & Gervasi, Corso di Porta Vittoria, 9, I-20122 Milan (IT).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> O-SULFATED BACTERIAL POLYSACCHARIDES  <b>(57) Abstract</b>  Process for the preparation of O-sulfated K4, K5 and K40 polysaccharides useful for the treatment of tumoral, HIV-1 and coagulation pathologies and in cosmetic preparations, wherein the K4, K5 or K40 polysaccharide in the form of sodium salt is suspended in an aprotic solvent and directly submitted to the reaction of O-sulfation with a pyridine-sulphur trioxide or trimethylamine-sulphur trioxide adduct or with chlorosulfonic acid.		

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## O-SULFATED BACTERIAL POLYSACCHARIDES

PRIOR ART

The bacterial polysaccharides identified as K4, K5 and K40, consisting of repeating sequences of D-glucuronic acid and amino sugars are known. They are obtained according to known techniques by fermentation of different strains of E. coli as it is described in several papers (Manzoni M., Bergomi S., F. and Cavazzoni V. Biotechnology Letters 18 (4) 383-386 (1996); B. Rodriguez M. R. et al., Eur. J. Biochem., 177 (1988) 117-124; and Dengler T. et al., Carb. Res., 150 (1986) 233-240).

Moreover, the O-sulfation processes studied for other polysaccharides as by example for heparin after complete desulfation are known.

Said processes provide for a preliminary treatment suitable to remove from the polysaccharide its natural counter-ion (usually sodium) in order to substitute it with tributyl ammonium hydroxide (Ogamo et al. Carb. Res. 193 (1989) 165-172) or with pyridine (Rej et al., Carb. Res. 210 (1991) 299-310) in order to make the polysaccharide itself soluble in solvents as the dimethylformamide or the dimethylsulfoxide.

Said processes require several steps among which an expensive liophilization step too. Moreover they exhibit several drawbacks as for example the weight doubling due to the counter-ion.

SUMMARY

We found that it is possible to obtain the O-sulfated K4, K5 and K40 polysaccharides by a process wherein said polysaccharides in the form of sodium salts are directly sulfated in a suspension in an organic solvent.

The process according to the present invention includes the following steps:

- a) suspension of the polysaccharide in the form of sodium salts in an aprotic solvent;
- b) O-sulfation with a pyridine-sulphur trioxide or trimethylamine-sulphur trioxide adduct or with chlorosulfonic acid;
- c) dilution with water;
- d) pH adjustment to a basic value;
- e) precipitation by addition of ethanol saturated with sodium acetate;

- f) dissolution by a NaCl solution;
- g) diafiltration;
- h) precipitation of the product by alcohols as ethanol or methanol or isopropanol or by acetone;
- 5 i) drying.

Optically K4 is preliminary defructosilated.

By the process according to the present invention polysaccharides having a molecular weight ranging from 4,000 to 35,000 and a sulfates/carboxyls ratio ranging from 0.5 to 4.0 are obtained.

- 10 The O-sulfated polysaccharides according to the present invention show an interesting anti-angiogenetic and antiviral as well as anticoagulant activity. Moreover they are effective in the cosmetic art in the prevention of hair falling out.

#### DETAILED DESCRIPTION OF THE INVENTION

The characteristics and the advantages of the process for the preparation of the

- 15 O-sulfated bacterial polysaccharides and of the products obtained acting according to the present invention will be shown mostly during the following detailed description.

In the present invention the starting material is formed by the bacterial polysaccharides obtainable from E. coli defined respectively as K4, K5 and K40.

- 20 The K5 polysaccharide is formed by D-glucuronic acid and by N-acetyl glucosamine linked by a  $\alpha$  1-4 bond. The bond between the disaccharides is  $\beta$  1-4. The K4 polysaccharide is formed by D-glucuronic acid and by N-acetyl galactosamine linked by a  $\beta$  1-3 bond. The bond between the disaccharides is  $\beta$  1-4. The K4 polysaccharide contains also a unit of D-fructose bound with a  $\beta$  2-3
- 25 bond to the D-glucuronic acid. The K40 polysaccharide is formed by trisaccharides consisting of D-glucuronic acid linked to N-acetyl glucosamine by a  $\alpha$  1-4 bond linked in turn to another N-acetyl glucosamine by a  $\alpha$  1-6 bond. The bond between the trisaccharides is of  $\beta$  1-4 kind. The K40 polysaccharide contains also a unit of serine bound to the glucuronic acid carboxyl.

- 30 In the process according to the present invention said polysaccharides, in the form of sodium salts, are added at room temperature with an aprotic solvent in order to form a suspension. Said solvent is preferably selected from the group

consisting of dimethylformamide, dimethylacetamide and dimethylsulfoxide. Mixtures of these solvents may be used too. The amount of the used solvent is ranging from 10 to 80 parts by weight with respect to the polysaccharide.

For the reaction of O-sulfation, a solution consisting of an amount ranging from 10 to 50 parts by weight with respect to said polysaccharide, of the same solvent used to prepare said suspension, and by an amount ranging from 0.5 to 20 parts by weight with respect to said polysaccharide, of a pyridine-sulphur trioxide of trimethylamine- sulphur trioxide adduct or with chlorosulfonic acid, is added to the obtained suspension.

The reaction is carried out under stirring at a temperature ranging from 0 to 70 °C, and preferably between 15 and 35 °C, for a time ranging from 2 to 24 hours.

When the reaction time is finished, the obtained suspension is diluted by an equal volume of water or of NaCl solutions from 0.1 to 1 N and then the pH is taken to a value ranging from 7.5 to 8.5 by the addition of a 3-6% by weight NaOH aqueous solution.

The product is precipitated by the addition of 1.5-3 volumes of ethanol saturated with sodium acetate and maintaining the mixture at a temperature equal to 3-5 °C for 12-15 hours. The obtained precipitate is dissolved into 100 parts by weight of a 2N sodium chloride solution and the solution is diafiltered by 10 volumes of distilled water using a cut-off 3000 dialysis cartridge with TFF millipore system.

The product is precipitated by the addition of 2-4 volumes of ethanol and then it is dried at a temperature equal to 60 °C, at reduced pressure.

When one wants to obtain a product having characteristics of anti-HIV activity the starting K4 polysaccharide is preliminarily defructosilated for example by the method described by B. Rodriguez M. R. et al. (Eur. J. Biochem., 177 (1988), 117-124).

The O-sulfated polysaccharides according to the present invention are analyzed by conductometric titration, <sup>13</sup>C-NMR and <sup>1</sup>H-NMR spectroscopy and HPLC. In particular, their molecular weight is determined by HPLC.

The O-sulfated polysaccharides according to the present invention are characterized by a molecular weight ranging from 4,000 to 35,000 and by a sulfates/carboxyls ratio ranging from 0.5 to 4.0.

The compounds according to the present invention show a good anti-angiogenetic activity whereby they may be used in the control of the tumoral growth and of the metastasis formation.

Moreover they show a good anticoagulant activity. Finally the mostly sulfated compounds (sulfates/disaccharide > 2.5) and in the case of the defructosilated K4 they show an interesting anti-HIV-1 activity.

Thanks to these characteristics they may be successfully used in the human therapy.

The compounds according to the present invention, besides for therapeutic purpose for the above reported indications, they may be used also in the cosmetic field with the function of coadiuvants in the prevention of the hair falling out.

For the expected therapeutic or cosmetic uses the derivatives obtainable according to the process of the invention are formulated according to the conventional techniques in suitable administration forms such as for example sterile solutions, topic dosage forms and, in general, in all those forms until today proposed for the derivatives of polysaccharidic kind or of glycosaminoglycans.

In particular for the therapeutic use with anti-angiogenetic, anticoagulant and anti-HIV-1 purpose the efficacious doses are ranging from 0.1 to 10 mg/kg/day.

The following Examples are reported for illustrative aim of the invention.

EXAMPLE 1: O-sulfation of the K4 polysaccharide.

1 g of K4 sodium salt obtained by fermentation (as described by Manzoni, M., Bergomi, S Molinari, F., & Cavazzoni, V (1996) Production and Purification of an Extracellularly Produced K4 Polysaccharide from Escherichia Coli. Biotechnology Letters, 18, 383-386) is suspended in 60 ml of anhydrous dimethylformamide at room temperature and 40 ml of anhydrous dimethylformamide containing 15.3 g of pyridine- sulphur trioxide adduct are then added. The obtained suspension is maintained at room temperature, under stirring, for 18 hours and then diluted with an equal volume of water. The pH is taken to 9 with 4% NaOH and the product is precipitated by 2 volumes of ethanol saturated with sodium acetate maintaining the solution at 4 °C for 12 hours. The obtained precipitate is dissolved in 100 ml of 2N sodium chloride and diafiltered by 10 volumes of distilled water using a cut-off 3000 dialysis cartridge with TFF millipore system.

Finally the product is precipitated by 3 volumes of ethanol and dried at a temperature equal to 60 °C at reduced pressure.

The obtained product shows a sulfates/carboxyls ratio equal to 3 and an average molecular weight equal to 28,000 d.

5 EXAMPLE 2: O-Sulfation of the Defructosilated K4 Polysaccharide.

1 g of K4 sodium salt is defructosilated as described by B. Rodriguez M. R. et al. and then treated as described in the Example 1.

The obtained product shows a sulfates/carboxyls ratio equal to 1.2 and an average molecular weight equal to 35,000 d.

10 EXAMPLE 3: O-Sulfation of the K5 Polysaccharide.

1 g of K5 sodium salt obtained by fermentation as described by Manzoni M. et al. is treated as in the Example 1.

The obtained product shows a sulfates/carboxyls ratio equal to 3.5 and an average molecular weight equal to 11,000 d.

15 EXAMPLE 4: O-Sulfation of the K40 Polysaccharide.

1 g of K40 sodium salt obtained by fermentation as described by Dengler T. et al. is treated as in the Example 1.

The obtained product shows a sulfates/carboxyls ratio equal to 3 and an average molecular weight equal to 32,000 d.

20 EXAMPLE 5: O-Sulfation of K5 with Chlorosulfonic Acid.

1 g of K5 sodium salt obtained by fermentation as described by Manzoni M. et al. is dried at 60 °C at reduced pressure for 24 hours and dissolved by slow stirring in 30 ml of a previously prepared mixture of sulfuric acid-chlorosulfonic acid in a 2:1 ratio and it is maintained at -4 °C. The mixture is left for 60 minutes under stirring  
25 at -4 °C and for other 60 minutes at room temperature. The product is recovered neutralizing with 5N NaOH controlling the temperature by a refrigerated bath. Then the solution is desalted using a cut-off 3000 dialysis cartridge with TFF millipore system. Finally the product is precipitated with 3 volumes of ethanol and dried.

30 The obtained product shows a sulfates/carboxyls ratio equal to 3.3 and an average molecular weight equal to 4,000 d.

EXAMPLE 6: O-sulfation of the K4 polysaccharide.

1 g of K4 sodium salt obtained by fermentation as described by B. Rodriguez M. R. et al. is dissolved in 60 ml of anhydrous dimethylformamide at room temperature and 40 ml of anhydrous dimethylformamide containing 2.5 g of pyridine-sulphur trioxide adduct are added. The obtained suspension is maintained at room temperature for 8 hours and then diluted with an equal volume of water. The pH is finally taken to 9 by 4% NaOH and the product is precipitated by 2 volumes of ethanol saturated with sodium acetate maintaining the solution at 4 °C overnight. The obtained precipitate is dissolved in 100 ml of 2N sodium chloride and diafiltered by 10 volumes of distilled water using a cut-off 3000 dialysis cartridge with TFF millipore system.

Finally the product is precipitated by 3 volumes of ethanol and dried.

The obtained product shows a sulfates/carboxyls ratio equal to 1.7 and an average molecular weight equal to 27,000 d.

EXAMPLE 7: O-Sulfation of the Defructosilated K4 Polysaccharide.

1 g of K4 sodium salt is defructosilated as described in the Example 2 and treated as described in the Example 6 but with a reaction time equal to 18 hours. Then the purification is carried out as in the Example 1.

The obtained product shows a sulfates/carboxyls ratio equal to 1.88 and an average molecular weight equal to 24,000 d and the carbon 13 NMR outline reported in Figure 1.

EXAMPLE 8: O-Sulfation of the Defructosilated K4 Polysaccharide.

1 g of K4 sodium salt is defructosilated as described in the Example 2 and treated as described in the Example 6 but with a reaction time equal to 18 hours and adding twice the amount equal to 2.5 of pyridine-sulphur trioxide. Then the purification is carried out as in the Example 1. The obtained product shows a sulfates/carboxyls ratio equal to 3.01 and an average molecular weight equal to 20,000 d and the carbon 13 NMR outline reported in Figure 2.

EXAMPLE 9: O-Sulfation of the K5 Polysaccharide.

1 g of K5 sodium salt obtained by fermentation as described by Manzoni et al. is treated as in the Example 6 but with a reaction time equal to 18 hours and lowering pyridine-sulphur trioxide to 1.75 g. Then the purification is carried out as



in the Example 1.

The obtained product shows a sulfates/carboxyls ratio equal to 2.5 and an average molecular weight equal to 12,000 d and the carbon 13 NMR outline reported in Figure 3.

5 EXAMPLE 10: O-Sulfation of the K5 Polysaccharide.

1 g of K5 sodium salt obtained by fermentation as described by Manzoni et al. is treated as in the Example 6 but with a reaction time equal to 18 hours. Then the purification is carried out as in the Example 1.

10 The obtained product shows a sulfates/carboxyls ratio equal to 3.43 and an average molecular weight equal to 11,000 d and the carbon 13 NMR outline reported in Figure 4.

EXAMPLE 11: O-Sulfation of the K5 Polysaccharide.

15 1 g of K5 sodium salt obtained by fermentation as described by Manzoni et al. is treated as in the Example 10 using instead a halved volume of DMF. Then the purification is carried out as in the Example 1.

The obtained product shows a sulfates/carboxyls ratio equal to 4.0 and an average molecular weight equal to 11,000 d and the carbon 13 NMR outline reported in Figure 5.

20 EXAMPLE 12: Activity of Hair Growth Stimulation of the O-Sulfated K5 Polysaccharide.

The sample obtained as described in the Example 11 has been tested for the activity of the anagen phase induction of the hair growth on an animal model (Burgundy red rabbit). The anagen phase of the hair growth in this animal model is directly correlated to the stimulation of the human hair growth.

25 The back hair has been shaved to the animals for an area about equal to 140 cm<sup>2</sup>. On each animal 5 couples of injections (4 with 0.1 ml of the material under examination at the concentrations of 0.05%, 0.1%, 0.2% and 0.5% and one with sterile water as negative control) have been applied. The injections have been daily repeated for two weeks (5 days on 7). The animals have been daily observed  
30 before each administration and for other 25 days after the last inoculation in order to reveal the appearance of dark colour at the cutaneous level and the following growth of dark hair around the injection sites.

The results are reported in the following Table.

Concentrations	Site 1	Site 2	Site 1	Site 2	Site 1	Site 2
0.05	+++	++	++	+	+	+
0.1%	++	+++	+++	++	+	+++
0.2%	+++	+++	+++	+++	+++	+++
0.5%	++	++	++	++	++	++
Distilled water	-	-	-	-	-	-

5 **EXAMPLE 13: Toxicity and Irritation Tests of the O-Sulfated K5 Polysaccharide According to the CEE 92/69 Requirements.**

The sample obtained as described in the Example 11 has been tested in order to determine the toxicity and the irritating power according to the CEE 92/6 standards.

10 In the following Table are reported the obtained results:

Study Description	Result	Notes
Acute Oral Toxicity	DL <sub>50</sub> > 2,000 mg/kg	
Allergic Irritation (Kligmann Magnusson Test)	Negative	
Cutaneous Tolerability (CEE 92/69-B4/OECD 404)	Negative	
Ocular Tolerability (CEE 92/69-B5/OECD 405)	Negative	60 min after the administration the conjunctiva appears on the average congested with a light chemosis. The congestion regresses after 7 days and the chemosis after 24 hours.
Mutagenesis (AMES Test)	Negative	

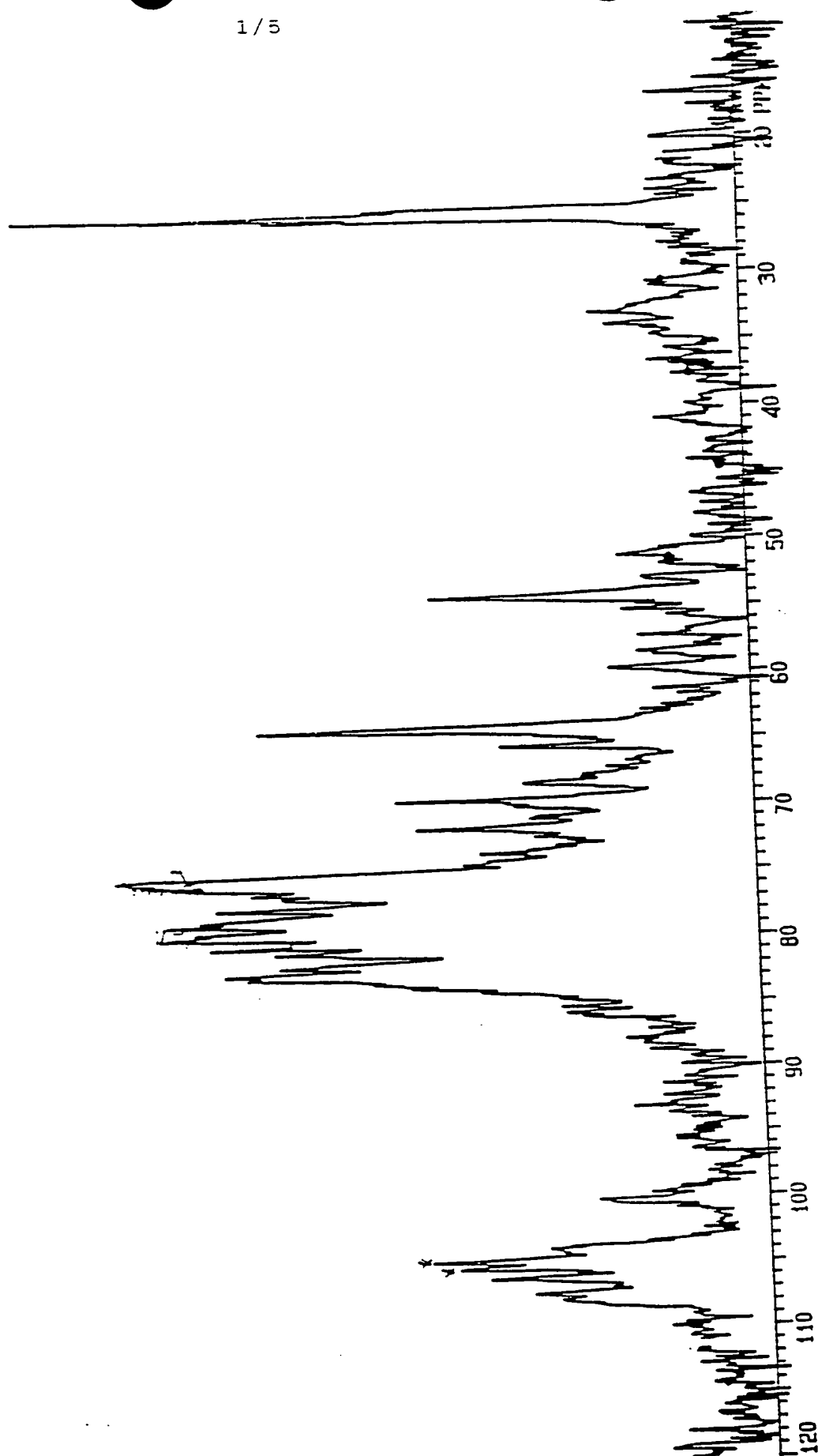
CLAIMS

- 1 1. Process for the preparation of O-sulfated K4, K5 and K40 polysaccharides  
2 comprising the following steps:
  - 3 a) suspension of the K4, K5 or K40 polysaccharide in the form of a sodium salt in  
4 an aprotic solvent;
  - 5 b) O-sulfation with a pyridine-sulphur trioxide or trimethylamine-sulphur trioxide  
6 adduct or with chlorosulfonic acid;
  - 7 c) dilution with water or with 0.2-1 N NaCl ;
  - 8 d) pH adjustment to a basic value;
  - 9 e) precipitation by addition of ethanol saturated with sodium acetate or methanol,  
10 isopropanol or acetone;
  - 11 f) dissolution by a NaCl solution;
  - 12 g) diafiltration;
  - 13 h) precipitation of the product by ethanol;
  - 14 i) drying.
- 1 2. Process as claimed in claim 1, characterized in that said aprotic solvent used in  
2 the step a) is selected from the group consisting of dimethylformamide,  
3 dimethylacetamide and dimethylsulfoxide.
- 1 3. Process as claimed in claim 1, characterized in that said O-sulfation is carried  
2 out using an amount of pyridine-sulphur trioxide or trimethylamine-sulphur trioxide  
3 adduct or chlorosulfonic acid ranging from 0.5 to 20 parts by weight with respect to  
4 said polysaccharide, dissolved in an amount ranging from 10 to 50 parts by weight  
5 with respect to said polysaccharide of the same solvent used in the step a).
- 1 4. Process as claimed in claim 1, characterized in that said O-sulfation is carried  
2 out at a temperature ranging from 0 to 70 °C for a time ranging from 2 to 24 hours.
- 1 5. Process as claimed in claim 1, characterized in that said correction of the step  
2 d) pH is carried out with an aqueous solution of 2-6% by weight NaOH to reach a  
3 pH ranging from 7.5 to 8.5.
- 1 6. Process as claimed in claim 1, characterized in that said precipitation of the  
2 step e) is carried out with 1.5-3 volumes of ethanol or methanol or isopropanol or  
3 acetone saturated with sodium acetate and maintaining the mixture at a  
4 temperature equal to 3-5 °C for 12-15 hours.

- 1 7. Process as claimed in claim 1, characterized in that the starting K4  
2 polysaccharide is preliminarily defructosilated.
- 1 8. O-sulfated K4, defructosilated K4, K5 and K40 polysaccharides characterized  
2 by a molecular weight ranging from 4,000 to 35,000, and by a sulfates/carboxyls  
3 ratio ranging from 0.5 to 4.
- 1 9. O-sulfated K4, defructosilated K4, K5 and K40 polysaccharides obtained by the  
2 process as claimed in claims from 1 to 7.
- 1 10. Therapeutic method for the treatment of tumoral, HIV-1 and coagulation  
2 pathologies wherein doses ranging from 0.1 to 10 mg/kg/day of O-sulfated K4,  
3 defructosilated k4, K5 and K40 polysaccharides as claimed in claim 8 are  
4 administered.
- 1 11. Use of O-sulfated K4, defructosilated K4, K5 and K40 polysaccharides, as  
2 claimed in claim 8 for the preparation of pharmaceutical compositions suitable to  
3 the treatment of tumoral, HIV-1 and coagulation pathologies.
- 1 12. Use of O-sulfated K4, defructosilated K4, K5 and K40 polysaccharides, as  
2 claimed in claim 8 for the preparation of cosmetic compositions.
- 1 13. Use of O-sulfated K4, defructosilated K4, K5 and K40 polysaccharides for the  
2 preparation of compositions suitable to the hair falling out prevention.

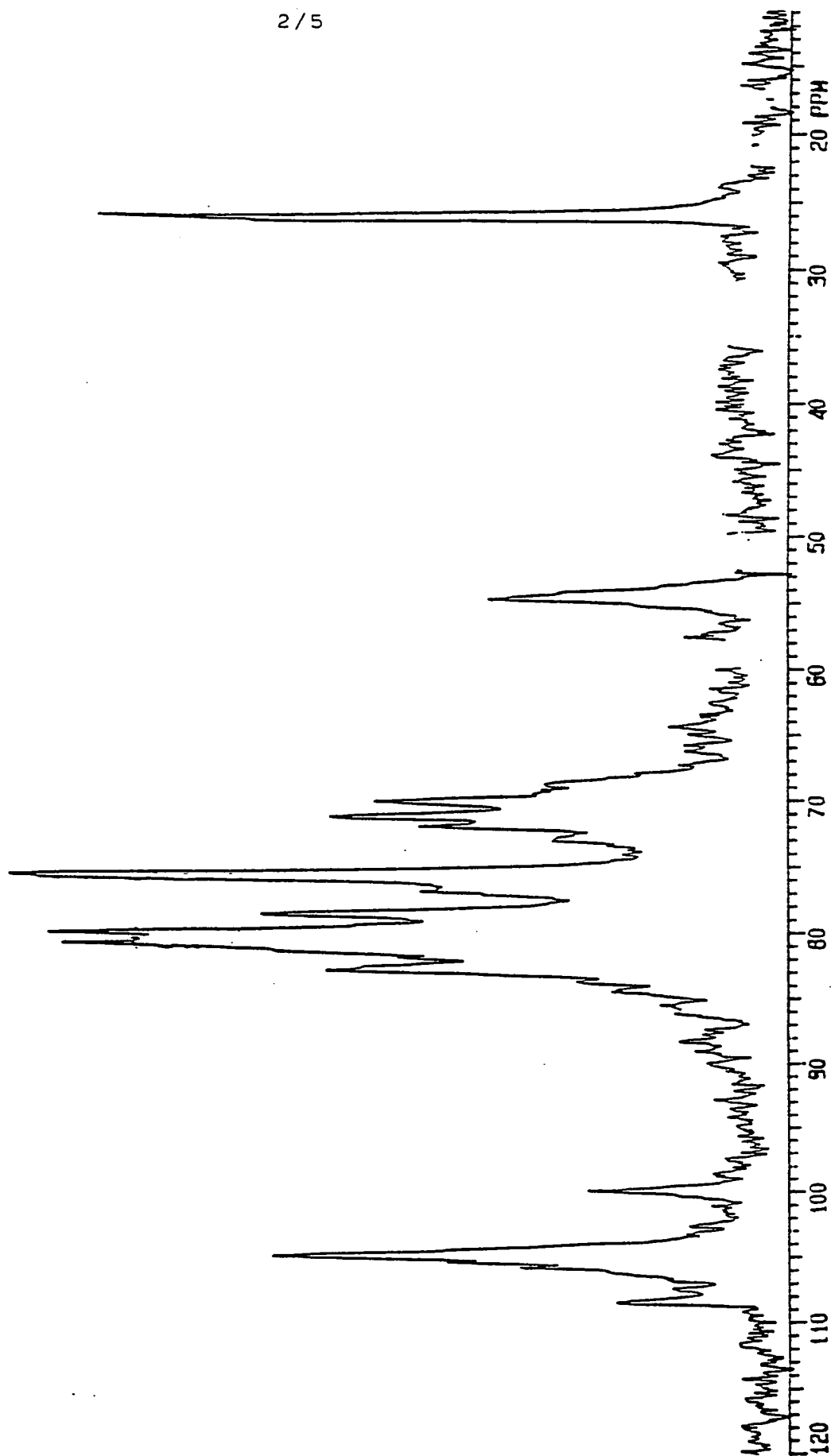
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FIGURE 1



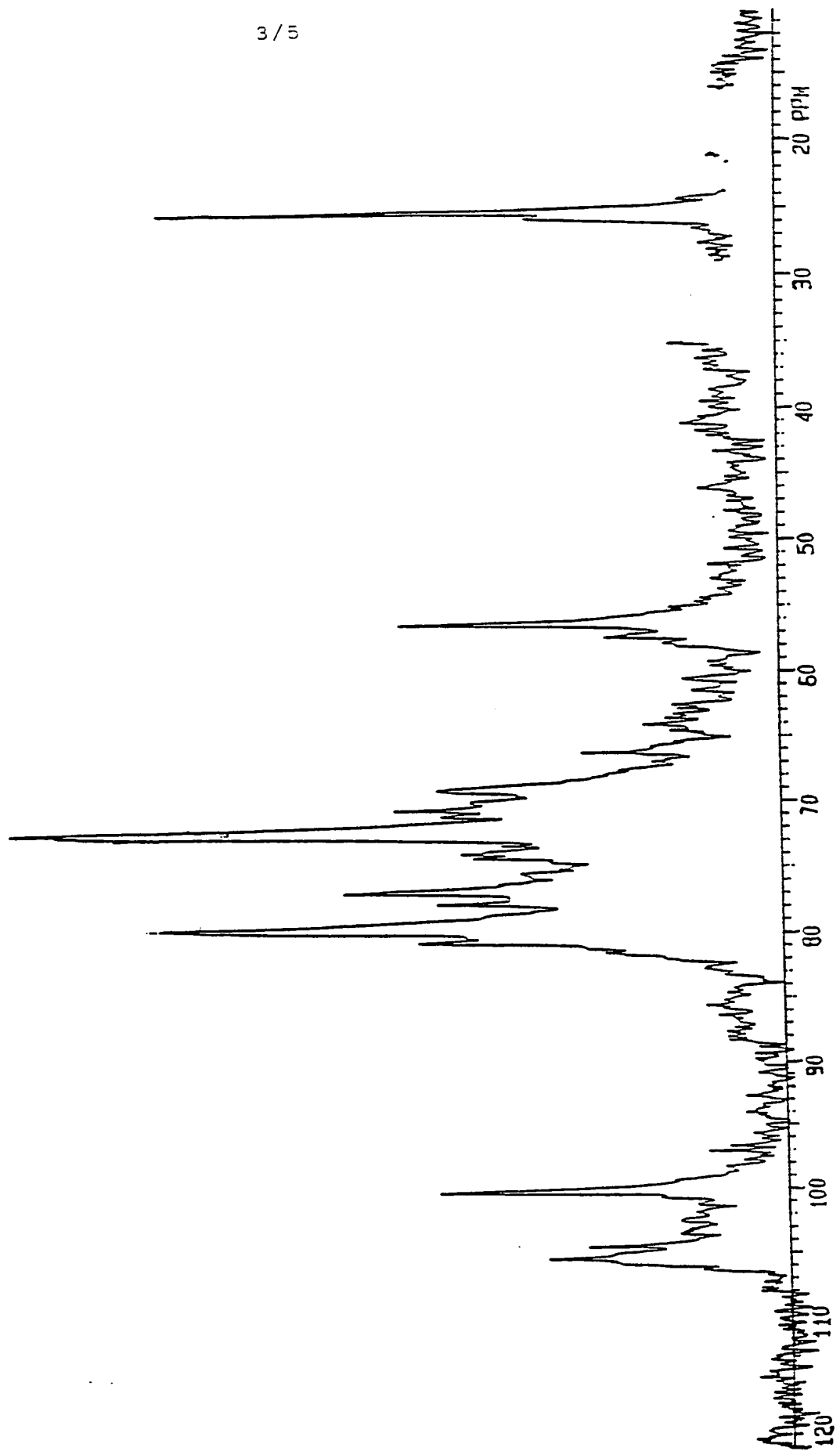
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FIGURE 2



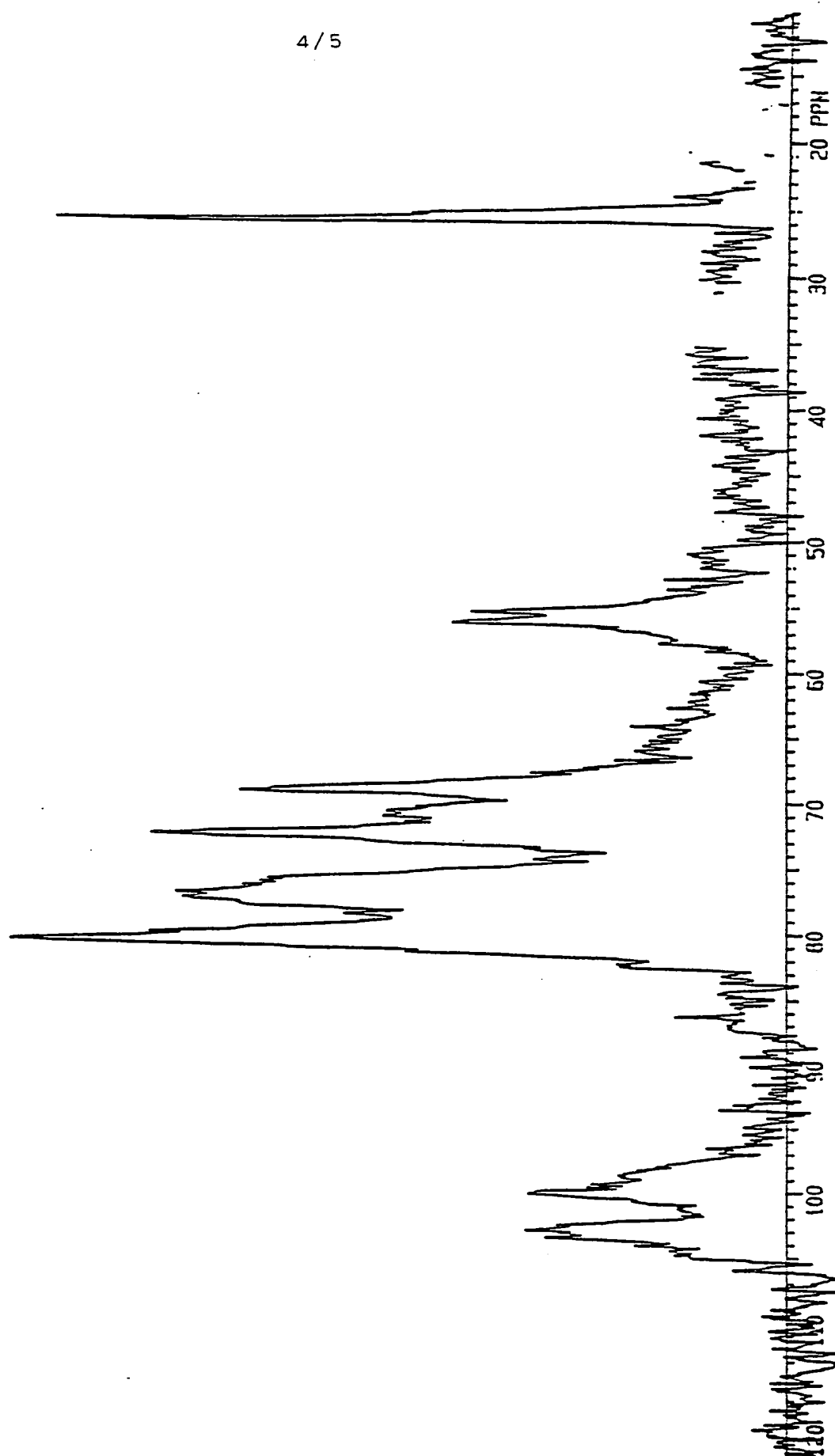
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FIGURE 3



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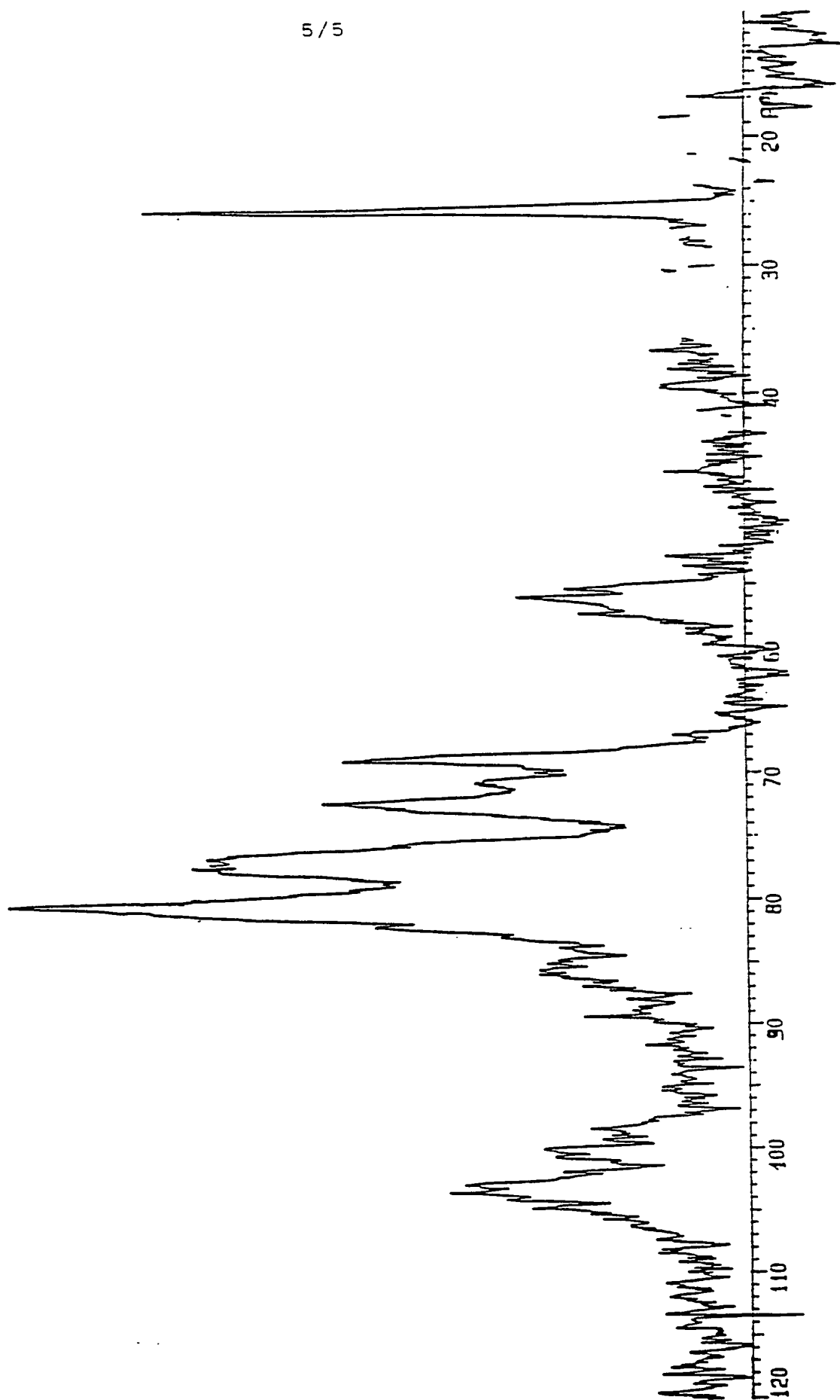
FIGURE 4





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FIGURE 5



# INTERNATIONAL SEARCH REPORT

International Application No.

PO 98/00598

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C08B37/00 A61K31/725 A61K7/48

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C08B A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 333 243 A (AKZO N.V.) 20 September 1989 see page 3, line 4 - line 11 see page 3, line 51 - line 56 see example 3 see claims	1-4,8-11
A	FR 2 584 728 A (CHOAY S.A.) 16 January 1987 see claims	1

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

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International Application No.  
PCT/EP 0598

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>N. A. KRAAIJEVELD ET AL.: "Synthesis of several sulphated and non-sulphated pentasaccharides, corresponding to the E. coli K5 glycosaminoglycan"</p> <p>RECUEIL DES TRAVAUX CHIMIQUES DES PAYS-BAS,</p> <p>vol. 108, no. 2, 1989, NL,</p> <p>pages 39-49. XP002069140</p> <p>-----</p>	

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Information on patent family members

International Application No

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